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11/07/01 2161

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: JARMAN et al.

SERIAL NUMBER: 09/862,917

EXAMINER:

FILING DATE: 5/22/2001

ART UNIT: 2161

TITLE: A UTILITY METERING SYSTEM INCORPORATING A
TRANSACTION AUTHORISATION SYSTEM

ATTORNEY DOCKET NO.: 708P009508-US(PAR)

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Technology Center 2100

Commissioner for Patents
U.S. Patent and Trademark Office
Washington, D.C. 20231



LETTER

Dear Sir:

Enclosed is a Statement Claiming Small Entity Status – (37 CFR 1.9(f) and 1.27(c)) Small Business Concern, for the above-identified patent application.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Clarence A. Green".

Clarence A. Green, Reg. No. 24,622
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29 Aug 01
Date



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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, United States Patent and Trademark Office, Group Art Unit 2161, Washington, D.C. 20231.

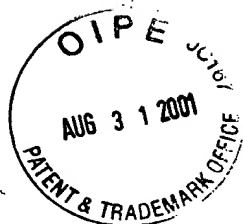
29 August 2001
Date

Deborah J. Clark
Name of Person Making Deposit

Practitioner's Docket No. _____

PATENT

☒ Applicant ☐ Patentee SECURE ELECTRANS LIMITED
☐ Application No. ☐ Patent No. _____
☐ Filed on ☐ Issued on _____
Title: A Utility System Incorporating a Transaction Authorisation System



**STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(c))—SMALL BUSINESS CONCERN**

I hereby state that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

Name of Small Business Concern SECURE ELECTRANS LIMITED

Address of Small Business Concern 3 PARK PLACE, ST. JAMES'S, LONDON, SW1A 1LP

I hereby state that the above identified small business concern qualifies as a small business concern, as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office under Sections 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third-party or parties controls or has the power to control both.

I hereby state that rights under contract or law have been conveyed to, and remain with, the small business concern identified above, with regard to the invention described in

- ☐ the specification filed herewith, with title as listed above.
☒ the application identified above.
☐ the patent identified above.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c), if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate statements are required from each named person, concern or organization having rights to the invention as to their status as small entities. (37 CFR 1.27)

(Small Entity-Small Business [7-4]—page 1 of 2)

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